



Financial Technologies Solutions
Insight to Action



CAAPS

Corporate Actions Announcement Processing System

Financial Technologies Solutions (FTS) offers cost-effective, web-based modular software solutions for the securities industry that are rapidly deployed. By focusing on corporate action data processing, FTS addresses challenges that are faced by asset managers, hedge funds, brokers and custodial banks.

CAAPS is a secure, cloud-based software solution for Corporate Action Announcement Processing.

CAAPS is a rules based workflow tool that streamlines the comparison of corporate action announcement information while providing flexible management and risk controls.



www.fintechsol.com



Corporate Actions Announcement Processing System (CAAPS)

CAAPS collects, monitors and reports corporate action information provided by the client's sources, with multiple features that allow organizations to work according to their business rules.



CAAPS IS SECURE

CAAPS supports ISO 15022 and ISO 20022 corporate action announcement messaging as well as client proprietary files.

Each CAAPS client will access their own Virtual Environment to ensure privacy of their information using an encrypted (SSL) web site.



CAAPS IS FLEXIBLE

Client-designed work queues allow the client to identify exception situations that should be addressed by operations personnel.

Client-tailored risk measurements create a risk value for each event that clearly identifies where risk conditions exist.

Modular software architecture means clients can easily add functionality that supports any change to their operations.

CAAPS FEATURES

DYNAMIC WORK QUEUES AND SEARCHES

User-configured searches to create work queues and reports for distribution to operations staff

EVENT DELIVERY

CAAPS Event Records delivered to client's downstream systems for onward processing

EXCEPTION PROCESSING

Primary processes are monitored for exceptions (e.g. potentially duplicated events)

AUDIT TRAIL

Easy access to an audit trail that registers manual changes impacting the Event Record

EVENT TEMPLATES

FTS created templates to manage your corporate action data for all ISO event types

RISK MEASUREMENT

Key risk parameters that provide quick identification of events that pose higher risk than others

DATA COMPARISON

Checks for data presence and discrepancies between sources to create a unified record

EVENT UPDATE HANDLING

Checks for updated values that differ from a source's prior value

RISK TEMPLATES

Allow the user to define risk conditions that will be applied to events upon initial creation and on changes in variable data